



Complaint Handling Procedure

Professional Clients

June 2022

abrdn.com



Introduction



At abrdn, we want to provide you with the highest level of service possible.

If you are disappointed with any part of our service, in the first instance please contact your appointed Relationship Management team who will seek to resolve the relevant issue.

How can I make a complaint?



By Letter

If you wish to complain you can write to us at the following address:



Regional Head Offices Address:

FAO - Client Service
1 George St,
Edinburgh
EH2 2LL

Alternatively, you can also make a complaint by:



Email:

Assigned Client Relationship
Team Contact @abrdn.com

The Complaint Investigation What will happen when you receive my complaint?

The Client Service Team will attempt to fully resolve your complaint within five business days after receipt. If your complaint cannot be resolved within this time, we will send you an acknowledgement letter or email if you contacted us via that method.

In some cases, we may require more information from you to enable us to fully investigate your complaint. In this instance, we may contact you for further information.

In all cases, you will be given the relevant contact details to follow up with your case.

Investigating your complaint

We will fully investigate all aspects of your complaint though on occasion we may require additional time to fully investigate the relevant issues. You will be kept fully informed of progress being made towards resolution.

Our Client Service Team considers each complaint individually, co-ordinates all aspects of the information gathering process about your complaint and will endeavour to resolve the matter promptly and fairly.

Responding to your complaint

You will receive a full written response to your complaint.

In our response, we will provide you with the name of the Client Service representative who investigated your complaint.

As above, we aim to send this response letter to you within 5 business days, however:

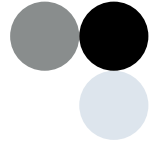
If after 4 weeks we are still investigating your complaint, we shall advise you in writing of:

- the reasons why the matter is still unresolved;
- when you may next expect to hear from us.

If after 8 weeks we are still not in a position to resolve your complaint, we shall advise you in writing of:

- the reason why the matter is still unresolved;
- when you may expect to receive a final response;
- your option to refer the matter to your local Appeal Body, where applicable.





Complaint resolution

During our investigation, we will have determined whether an error has occurred. If we have made a mistake, we will correct it and take steps to ensure that you have not been financially disadvantaged as a consequence of our error.

We will do our utmost to ensure that your complaint is resolved to your satisfaction. If you have any further issues, please contact us again.

If we have not heard from you 8 weeks after we have provided our final response, we will consider the complaint resolved.

What if I am unhappy with your response?

If you are unhappy with our response, you may take your complaint to your local appeal body.

What happens after my complaint is resolved?

We analyse each complaint received to record any errors that may have occurred. Our senior management team reviews this analysis on a monthly basis to improve the level of service that we provide.

Professional Clients

Complaints received from professional clients and eligible counterparties will be handled in the same way as complaints received from retail clients. However, professional clients and eligible counterparties may not meet the definition of "eligible complainant" and therefore may not have access to your local appeal body.





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